

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

Attorney Docket No 112047-0050

First Inventor Anne H. Anderson et al.

Title SYSTEM AND METHOD FOR FACILITATING OPERATOR  
AUTHENTICATION

Express Mail Label No EL 705 607 043 US

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 29 ]  
(preferred arrangement set forth below)
- Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 4 ]
5. Oath or Declaration [Total Pages ]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:**Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATIONS PARTS**

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☒ Power of  
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,  
or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_ /  
Prior application information: Examiner \_\_\_\_ Group / Art Unit.

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied  
under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference.  
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label

(Insert)



(Insert)

or ☐ Correspondence address below

|                         |                        |           |                |          |                |
|-------------------------|------------------------|-----------|----------------|----------|----------------|
| Name                    | A. Sidney Johnston     |           |                |          |                |
| PATENT TRADEMARK OFFICE |                        |           |                |          |                |
| Address                 | Cesari and McKenna     |           |                |          |                |
|                         | 88 Black Falcon Avenue |           |                |          |                |
| City                    | Boston                 | State     | MA             | Zip Code | 02210          |
| Country                 | U. S. A.               | Telephone | (617) 951-2500 | Fax      | (617) 951-3927 |

|                   |                    |                                   |                           |
|-------------------|--------------------|-----------------------------------|---------------------------|
| Name (Print/Type) | A. Sidney Johnston | Registration No. (Attorney/Agent) | 29,548                    |
| Signature         |                    |                                   | Date<br>December 18, 2001 |

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12/18/01

JC965 U.S. PAT. &amp; TM. OFF.

Patent and Trademark Office/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

1,386

## Complete if Known

|                      |                         |
|----------------------|-------------------------|
| Application Number   | Not yet assigned        |
| Filing Date          | Not yet assigned        |
| First Named Inventor | Anne H. Anderson et al. |
| Examiner Name        | Not yet assigned        |
| Group Art Unit       | Not yet assigned        |
| Attorney Docket No.  | 112047-0050             |

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

03-1237

Deposit  
Account  
Name

Cesari and McKenna, LLP

☒ Charge Any Additional Fee Required  
Under 37 C.F.R. §§1.16 and 1.17

☐ Applicant claims small entity status  
See 37 C.F.R. 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description        | Fee Paid |
|--------------|--------------|----------|----------|----------|----------|------------------------|----------|
| 101          | 740          | 201      | 370      |          |          | Utility filing fee     | 740      |
| 106          | 330          | 206      | 165      |          |          | Design filing fee      |          |
| 107          | 510          | 207      | 255      |          |          | Plant filing fee       |          |
| 108          | 740          | 208      | 370      |          |          | Reissue filing fee     |          |
| 114          | 160          | 214      | 80       |          |          | Provisional filing fee |          |
| SUBTOTAL (1) |              |          |          |          |          |                        | 740      |

## 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 49                 | 20**         | 29             | 522      |
| 4                  | 3**          | 1              | 84       |
| Multiple Dependent |              |                | 0        |

| Large Entity | Small Entity | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description   | Fee Paid |
|--------------|--------------|----------|----------|----------|----------|---|----------|
| 103          | 18           | 203      | 9        |          |          | Claims in excess of 20                                    |          |
| 102          | 84           | 202      | 42       |          |          | Independent claims in excess of 3                         |          |
| 104          | 280          | 204      | 140      |          |          | Multiple dependent claim, if not paid                     |          |
| 109          | 84           | 209      | 42       |          |          | **Reissue independent claims over original patent         |          |
| 110          | 18           | 210      | 9        |          |          | **Reissue claims in excess of 20 and over original patent |          |
| SUBTOTAL (2) |              |          |          |          |          |   | 606      |

\*\*or number previously paid, if greater, For Reissues, see below

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code            | Fee (\$) | Fee Code | Fee (\$) | Fee Description  | Fee Paid |
|---------------------|----------|----------|----------|--|----------|
| 105                 | 130      | 205      | 65       | Fee Surcharge - late filing fee or oath                                    |          |
| 127                 | 50       | 227      | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                 | 130      | 139      | 130      | Non-English Specification  |          |
| 147                 | 2,520    | 147      | 2,520    | For filing a request for ex parte reexamination                            |          |
| 112                 | 920      | 112      | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 113                 | 1,840    | 113      | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 115                 | 110      | 215      | 55       | Extension for reply within first month                                     |          |
| 116                 | 400      | 216      | 200      | Extension for reply within second month                                    |          |
| 117                 | 920      | 217      | 460      | Extension for reply within third month                                     |          |
| 118                 | 1,440    | 218      | 720      | Extension for reply within fourth month                                    |          |
| 128                 | 1,960    | 128      | 980      | Extension for reply within fifth month                                     |          |
| 119                 | 320      | 219      | 160      | Notice of Appeal   |          |
| 120                 | 320      | 220      | 160      | Filing a brief in support of an appeal                                     |          |
| 121                 | 280      | 221      | 140      | Request for oral hearing   |          |
| 138                 | 1,510    | 138      | 1,510    | Petition to institute a public use proceeding                              |          |
| 140                 | 110      | 240      | 55       | Petition to revive - unavoidable   |          |
| 141                 | 1,280    | 241      | 640      | Petition to revive - unintentional   |          |
| 142                 | 1,280    | 242      | 640      | Utility Issue fee (or reissue)   |          |
| 143                 | 460      | 243      | 230      | Design Issue fee   |          |
| 144                 | 620      | 244      | 310      | Plant Issue fee  |          |
| 122                 | 130      | 122      | 130      | Petitions to the Commissioner  |          |
| 123                 | 50       | 123      | 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 126                 | 180      | 126      | 180      | Submission of Information Disclosure Stmt                                  |          |
| 581                 | 40       | 581      | 40       | Recording each patent assignment per property (times number of properties) | 40       |
| 146                 | 740      | 246      | 370      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 149                 | 740      | 249      | 370      | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 179                 | 740      | 279      | 370      | Request for Continued Examination (RCE)                                    |          |
| 169                 | 900      | 169      | 900      | Request for expedited examination of a design application                  |          |
| Other fee (specify) |          |          |          |  |          |
| SUBTOTAL (3)        |          |          |          |  | 40       |

\*Reduced by Basic Filing Fee Paid

## SUBMITTED BY

Complete (if applicable)

|                   |                    |                                   |        |           |                   |
|-------------------|--------------------|-----------------------------------|--------|-----------|-------------------|
| Name (Print/Type) | A. Sidney Johnston | Registration No. (Attorney/Agent) | 29,548 | Telephone | (617) 951-2500    |
| Signature         |                    |                                   |        | Date      | December 18, 2001 |

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of: )  
Anne H. Anderson et al. )  
Serial No.: Not yet assigned ) Examiner: Not yet assigned  
Filed: Not yet assigned ) Art Unit: Not yet assigned  
For: SYSTEM AND METHOD FOR )  
FACILITATING OPERATOR )  
AUTHENTICATION )  
Cesari and McKenna, LLP  
88 Black Falcon Avenue  
Boston, MA 02210  
December 18, 2001

EXPRESS-MAIL DEPOSIT

“Express Mail” Mailing-Label Number: EL 705 607 043 US

The following papers are being deposited with the United States Postal Service  
“Express Mail Post Office to Addressee” service pursuant to 37 C.F.R. §1.10:

|  |  |
|--|--|
| <u>X</u> Patent Application ( 29 pages including 49 claims ) | <u>X</u> Declaration                                   |
| <u>X</u> Power of Attorney                                   | <u>X</u> Utility Patent Application Transmittal Letter |
| <u>X</u> Fee Transmittal Letter                              | <u>X</u> Formal Drawing ( 4 sheets )                   |
| <u>X</u> Assignment With Recordation Form Cover Sheet        | <u>X</u> Check for \$40                                |
| <u>X</u> Check for \$1346                                    |  |